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PATENT

Case Docket No. DANHSU.001C1  
Date: January 28, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Hsu  
App. No. : 09/975,143  
Filed : October 10, 2001  
For : GALECTIN EXPRESION IS  
INDUCED IN CIRRHOTIC  
LIVER AND  
HEPATOCELLULAR  
CARCINOMA

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in an  
envelope addressed to: Assistant Commissioner for  
Patents, Washington, D.C. 20231, on

January 28, 2002

(Date)

  
Eric S. Furman, Ph.D., Reg. No. 45,664

Group Art Unit : 1645

**TRANSMITTAL LETTER**

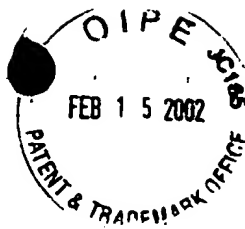
**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on November 27, 2001, enclosed are:

- (X) A Declaration and Power of Attorney.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Paper copy of Sequence Listing in 14 pages.
- (X) Sequence Listing in Computer Readable Format on one disk.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:



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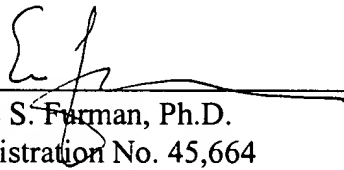
Case Docket No. DANHSU.001C1  
Date: January 28, 2002

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FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$ 0
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 130
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 65		
TOTAL FEES SUBMITTED HEREWITH		\$ 65

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- (X) A check in the amount of \$65 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
Eric S. Farman, Ph.D.  
Registration No. 45,664  
Attorney of Record



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Case Docket No. DANHSU.001C1  
Date: January 28, 2002

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hsu, et al.  
Appl. No. : 09/975,143  
Filed : October 10, 2001  
For : GALECTIN EXPRESION  
IS INDUCED IN  
CIRRHOTIC LIVER AND  
HEPATOCELLULAR  
CARCINOMA  
Group Art Unit : 1645  
Examiner : Unknown

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Assistant Commissioner for Patents,  
Washington, D.C. 20231, on

January 28, 2002

(Date)

Eric S. Furman, Ph.D., Reg. No. 45,664

SEQUENCE SUBMISSION STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

A copy of the Sequence Listing in computer readable form as required by 37 C.F.R.  
§1.821(e) is submitted herewith.

As required by 37 C.F.R. §1821(f), I hereby declare that the information recorded on the  
enclosed disk is identical to the printed Sequence Listing in the application filed herewith.  
Accordingly, no new matter has been introduced.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR

Date: 1/28/02

Eric S. Furman, Ph.D.  
Registration No. 45,664  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(619) 235-8550

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FROM: LAW OFFICES OF  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
INTELLECTUAL PROPERTY LAW  
550 WEST C STREET, SUITE 1200  
SAN DIEGO, CA 92101

TO: ATTN: BOX MISSING PARTS  
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FOR PATENTS  
Washington, D.C. 20231-9998

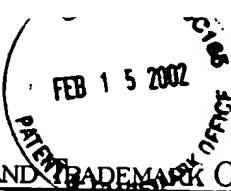
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,143	10/10/2001	Daniel K. Hsu	DANHSU.001C1

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 8888

FORMALITIES LETTER



\*OC000000007122336\*

Date Mailed: 11/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/22/2002 AOSMAN1 00000062 09975143

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

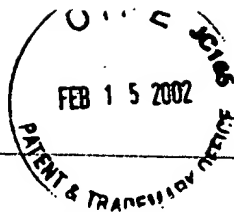
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)



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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature, possibly "R", written in ink.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE